



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Gerlach et al

SERIAL NUMBER: 09/898,841

EXAMINER: Not Yet Assigned

FILING DATE: July 3, 2001

ART UNIT: 1645

FOR: Novel Proteins and Nucleic Acids Encoding Same

Box Missing Parts  
 Assistant Commissioner for Patents  
 Washington, DC 20231

## TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

1. Petition For Extension of Time and Submission of The Processing And Retention Fee Under 37 C.F.R. §1.78(a)(1)(iv) (in duplicate);
2. A Copy of the Notice To File Missing Parts;
3. A check in the amount of \$130.00 (#12922) (for surcharge fee);
4. A check in the amount of \$1,960.00 (#12929) (for extension fee);
5. A check in the amount of \$130.00 (#12923) (for Processing and Retention fee); and
6. Return receipt postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617/542-6000, Boston, Massachusetts.

The Commissioner is hereby authorized to charge any fees due, or credit any overpayment of same to our Deposit Account No. 50-0311, Reference No. 15966-694 CIP2CON1.

Respectfully submitted,

  
 Ivor R. Elrifi, Reg. No. 39,529

Attorney for Applicant

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*Date: May 29, 2002*



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/898,841	07/03/2001	Valerie L. Gerlach	15966-694 CIP2

CONFIRMATION NO. 1449

## FORMALITIES LETTER



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NOV 05 2001

MINTZ LEVIN, COHN, FERRIS,  
 GLOVSKY AND POPEO, P.C.  
 PATENT DOCKET DEPT

Date Mailed: 10/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

06/17/2002 YGIZAW 0000005 09898841

Adjustment date: 06/17/2002 YGIZAW  
 06/03/2002 MBIZUNES 00000027 09898841  
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65.00 0P

01 FC:205

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

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01 FC:205

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Repln. Ref: 06/17/2002 YGIZAW 0014265000  
 06/17/2002 YGIZAW 0014265000 CR

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- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3h\\_ip@uspto.gov](mailto:patin3h_ip@uspto.gov)

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*BS*

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE